



*Seaton*

Attorney Docket No.: P-01754-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*#3*

SERIAL NO.: 09/325,533  
APPLICANT: Hitchcock et al.  
FILING DATE: 6/3/99  
TITLE: Universal Forms Engine

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

ATTN: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

In response to the Notice to File Missing Parts of Application, Filing Date Granted, dated July 1, 1999, noting the filing fee was omitted and the oath unexecuted from the above- referenced application, Applicant submits the following:

1. Copy of Notice to File Missing Parts of Application;
2. Three (3) Executed Combined Declarations and Powers of Attorney;
3. Three (3) Verified Statements Claiming Small Entity Status - Individual Inventor;
4. Verified Statement Claiming Small Entity Status - Small Business Concern; and
5. A check in the amount of \$982 for fees as described below.

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the 27<sup>th</sup> day of September, 1999.

By:

Name:

*S. Leslie Marlow*  
*S. Leslie Marlow*

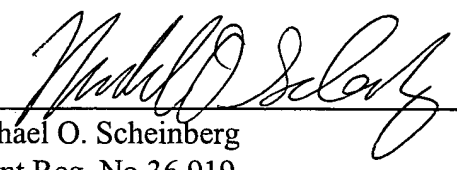
CLAIMS AS FILED				
✓	Fee for:	Entity		Amount
		<input checked="" type="checkbox"/> Small	<input type="checkbox"/> Other	
✓	Basic fee	\$395.00	\$790.00	\$ 395.00
✓	Each independent claim in excess of 3	<u>2</u> x \$41.00	<u>   </u> x \$82.00	82.00
✓	Each claim* in excess of 20	<u>35</u> x \$11.00	<u>   </u> x \$22.00	385.00
	Multiple dependent claim (one-time fee)	<u>   </u> x \$135.00	<u>   </u> x \$270.00	
✓	Missing Parts fee	\$65.00	\$130.00	65.00
✓	One Month Extension of Time	\$55.00	\$110.00	55.00
*Including the total number of claims to which direct reference is made in all multiple dependent claims		<b>TOTAL FILING FEE --</b>		<b>\$ 982.00</b>

The Commissioner is hereby authorized to charge any additional fee required to Deposit Account No. 06-2375. A duplicate of this authorization is attached.

Respectfully submitted,

FULBRIGHT & JAWORSKI L.L.P.

Date: 27 September 1999

By:   
 Michael O. Scheinberg  
 Patent Reg. No. 36,919  
 600 Congress Avenue, Suite 2400  
 Austin, Texas 78701  
 Telephone: (512) 322-2495  
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SEP 30 1999

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

101754451  
Universal Forms

3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/325,533 06/03/99 HITCHCOCK M P-01754-US1

0232/0701

FULBRIGHT & JAWORSKI LLP  
1301 MCKINNEY STREET SUITE 5100  
HOUSTON TX 77010-3095

NOT ASSIGNED

2771

DATE MAILED:

07/01/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 1676.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 630.00 for 35 total claims over 20.

\$ 156.00 for 2 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

10/05/1999 TL0011 00000051 09325539  
02 03 04 05 06  
380.00 DP  
78.00 DP  
315.00 DP  
65.00 DP  
89.00 DP  
U.S. GPO: 1999-10-05  
06 FC:299